

**Paul B. Uhlenhop**

Partner

P: 312.372.1947 F: 312.372.2389 E: [puhlenhop@LKSU.com](mailto:puhlenhop@LKSU.com)

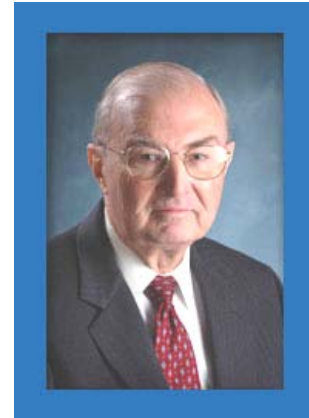
Paul B. Uhlenhop is a member of the firm's extensive securities, commodities and financial service practice, which he formerly headed.

In Paul's distinguished career, he has worked on major initiatives in the financial services industry from compliance, litigation and business perspectives. Through his varied work, Paul has advised and mentored members of industry, government and regulatory bodies, in Chicago and nationwide.

Since 1962, when he joined the Securities and Exchange Commission in Washington, D.C., Paul's practice has focused on major developments in financial services. In 1971, he came to Lawrence Kamin, and since then has lent his expertise in assisting our clients. Today, Paul is regarded as one of the leading authorities of the futures and securities bar. His clients have included multibillion-dollar, integrated international entities, as well as single-employee broker-dealers, and he has handled matters ranging from organization of a simple brokerage to crisis management for major firms and industry members. Paul is a recognized authority on financial reporting, including complex net capital issues.

Paul's experience in working with both local and national regulators is practically unparalleled in Chicago. He has taught introductory and graduate-level courses on securities and futures regulation and enforcement law at both the University of Illinois College of Law and the IIT Kent School of Law. Many of his former students now practice in Chicago and nationwide in law firms and with regulatory authorities and exchanges. He has served as a member of the Planning Committee of the Garrett Institute at Northwestern University College of Law for many years, and continues as a frequent panelist and panel chair at securities, futures and derivatives conferences, and regularly presents at programs sponsored by the American Bar Association, the Futures Industry Association, the National Society of Compliance Professionals, the National Regulatory Service and the Chicago Bar Association.

Paul is actively involved in various community service groups, including having chaired the board of directors of The Cradle Foundation, one of the nation's largest adoption services agencies, & currently serves on the board of directors of the Ravinia Festival. A former Navy officer, Paul remains an avid sailor. Paul and his wife, Virginia, reside in Wilmette, Illinois.



**Practice Areas**

Futures & Derivatives  
Securities

**Experience**

Lawrence, Kamin, Saunders & Uhlenhop LLC  
Partner: 1971-Present  
Securities & Exchange Commission,  
Washington, D.C.  
Enforcement Division, 1962-1971

**Education**

University of Illinois College of Law, J.D., 1961  
University of Illinois, Urbana-Champaign, B.A.,  
with honors, 1958

**Bar Admissions**

State of Illinois

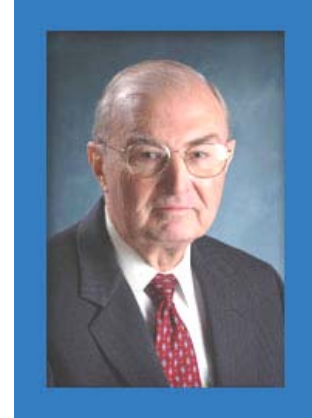
**Professional Memberships  
& Affiliations**

American Bar Association  
Business Law, Antitrust Law, Litigation,  
and International Law Sections  
Securities Law Committee  
Futures Law Committee  
Broker-Dealer Subcommittee  
Chairman of Futures Litigation  
Subcommittee, 1998-2004  
The Law Society of England and Wales  
New York State Bar Association  
Illinois Bar Association  
Securities Law Committee  
Antitrust Committee  
Chicago Bar Association  
Securities Law Committee, Chairman  
1977-1978  
Futures Law Committee, Chairman  
1999-2000  
Antitrust Law Committee  
Broker-Dealer Subcommittee, Chairman  
1973-1977, 1982-1996  
House Committee, 1980-1990  
Continuing Legal Education Committee,  
1989-1990

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**Professional Memberships & Affiliations (continued)**

National Society of Compliance Professionals  
Board member, 2002-2005  
University of Illinois College of Law  
Board of Visitors, 1981-1988  
President of Board of Visitors, 1985-1987  
Futures and Derivatives Law Reporter  
Board of Editors, 2001-Present  
Global Markets Journal  
Board of Editors, 2000-2004

**Civic Organizations**

Ravinia Festival Association  
Board Member, 1991-1999, 2000-Present  
The Cradle Foundation  
Board of Trustees, 1985-Present  
Chairman, 2000-2004  
Vice Chairman, 1994-2000  
Secretary, 1989-1995  
Wilmette Harbor Association  
Special Counsel to the Board, 1984-2004  
President, 1981-1982  
Board Trustee, 1976-1984

